#### Briefing

**(800)** Hazardous Drugs—Handling in Healthcare Settings, *PF* 40(3) [May–Jun. 2013]. Based on the public comments received for the proposed (800) in *PF* 40(3), the USP Compounding Expert Committee has developed a revised chapter. This chapter has been created to identify the requirements for receipt, storage, compounding, dispensing, and administration of hazardous drugs (HDs) to protect the patient, healthcare personnel, and environment. Facility requirements that differ from

<u>Pharmaceutical Compounding—Sterile Preparations</u>  $\langle 797 \rangle$  and this chapter will be harmonized through an upcoming revision of  $\langle 797 \rangle$ , which will include the following:

- Elimination of the current allowance in (797) for facilities that prepare a low volume of HDs that permits placement of a Biological Safety Cabinet (BSC) or Compounding Aseptic Containment Isolator (CACI) in a non-negative pressure room. All HD compounding must be done in a separate area designated for HD compounding.
- Addition of an allowance in < 800 > for a Containment Segregated Compounding Area (C-SCA), a separate, negative pressure room with at least 12 air changes per hour (ACPH) for use when compounding HDs. Low- and medium-risk HD compounded sterile preparation (CSP) may be prepared in a BSC or compounding aseptic containment isolator (CACI) located in a C-SCA, provided the beyond-use date of the CSP does not exceed 12 hours.

Major changes from the proposal of  $\langle 800 \rangle$  in *PF* 40(3) include:

- Clarified wording in many sections.
- Removed statement concerning no acceptable level of HDs.
- Revised section on list of HDs, to allow entities to perform an assessment of risk for non-antineoplastic drugs and final dosage forms to determine alternative containment strategies and/or work practices.
- Clarified that HDs may be unpacked in either a neutral/normal or negative pressure area.
- Allowance for either external venting or redundant high-efficiency particulate air (HEPA) filtration of containment primary engineering controls (C-PECs) used for nonsterile compounding.

The proposed chapter is posted online at <u>www.usp.org/usp-nf/notices/general-chapter-hazardous-drugs-handling-healthcare-settings</u> with line numbers. Please provide the line numbers corresponding to your comments when submitting comments to <u>CompoundingSL@usp.org</u>.

(CMP: J. Sun.) Correspondence Number-C151881

Add the following:

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# 800> HAZARDOUS DRUGS— HANDLING IN HEALTHCARE SETTINGS

## **1. INTRODUCTION AND SCOPE**

5 This chapter describes practice and quality standards for handling hazardous drugs (HDs) to promote patient safety, worker safety, and environmental protection. Handling 6 7 HDs includes, but is not limited to, the receipt, storage, compounding, dispensing, 8 administration, and disposal of sterile and nonsterile products and preparations. 9 This chapter applies to all healthcare personnel who handle HD preparations and all 10 entities which store, prepare, transport, or administer HDs (e.g., pharmacies, hospitals and other healthcare institutions, patient treatment clinics, physicians' practice facilities, 11 or veterinarians' offices). Personnel who may potentially be exposed to HDs include, but 12 13 are not limited to: pharmacists, pharmacy technicians, nurses, physicians, physician assistants, home healthcare workers, veterinarians, and veterinary technicians. 14 15 Entities that handle HDs must incorporate the standards in this chapter into their 16 occupational safety plan. The entity's health and safety management system must, at a 17 minimum, include:

- 18 Engineering controls
- Competent personnel 19
- 20 Safe work practices
- Proper use of appropriate Personal Protective Equipment (PPE) 21 22
  - Policies for HD waste segregation and disposal
- 23 The chapter is organized into the following main sections:
- 24 1. Introduction and Scope
- 2. List of Hazardous Drugs 25
- 26 3. Types of Exposure
- 4. Responsibilities of Personnel Handling Hazardous Drugs 27
- 28 5. Facilities
- 29 6. Environmental Quality and Control
- 7. Personal Protective Equipment 30
- 8. Hazard Communication Program 31
- 32 9. Personnel Training
- 33 10. Receiving
- 34 11. Labeling, Packaging, and Transport
- 12. Dispensing Final Dosage Forms 35
- 13. Compounding 36

- 37 14. Administering
- 38 15. Deactivation/Decontamination, Cleaning, and Disinfection
- 39 16. Spill Control
- 40 17. Disposal
- 41 18. Documentation and Standard Operating Procedures
- 42 19. Medical Surveillance
- 43 Appendix A: Acronyms and Definitions
- 44 Appendix B: Examples of Design for Hazardous Drugs Compounding Areas
- 45 Appendix C: Types of Biological Safety Cabinets
- 46 Appendix D: Bibliography
- 47 48

## 2. LIST OF HAZARDOUS DRUGS

- 49 The National Institute for Occupational Safety and Health (NIOSH) maintains a list of
- 50 antineoplastic and other HDs used in healthcare. An entity must maintain a list of HDs, 51 which may include items on the current NIOSH list in addition to other agents not on the
- 52 NIOSH list. The entity's list must be reviewed at least annually and whenever a new
- 53 agent or dosage form is used.
- 54 The NIOSH list of antineoplastic and other HDs provides the criteria used to identify
- 55 HDs. These criteria must be used to identify HDs that enter the market after the most
- 56 recent version of the NIOSH list, or that enter the entity as an investigational drug. If the
- 57 information available on this drug is deemed insufficient to make an informed decision.
- 58 consider the drug hazardous until more information is available.
- 59

### **Box 1: Containment Requirements**

 Any antineoplastic HD requiring manipulation and HD Active Pharmaceutical Ingredients (API) on the NIOSH list must follow the requirements in this chapter.

 Final antineoplastic dosage forms that do not require any further manipulation other than counting final dosage forms may be dispensed without any further requirements for containment unless required by the manufacturer.

- For dosage forms of other HDs on the NIOSH list, the entity may perform an assessment of risk to determine alternative containment strategies and/work practices.
- 60 Some dosage forms of drugs defined as hazardous may not pose a significant risk of
- 61 direct occupational exposure because of their dosage formulation (e.g., tablets or
- 62 capsules—solid, intact medications that are administered to patients without modifying
- the formulation). However, dust from tablets and capsules may present a risk of
- 64 exposure by skin contact and/or inhalation. An assessment of risk may be performed for
- these dosage forms to determine alternative containment strategies and/or work
   practices.
- 67 The assessment of risk must, at a minimum, consider the following:

- Type of HD (e.g., antineoplastic, non-antineoplastic, reproductive risk)
- 69 Risk of exposure
- 70 Packaging
  - Manipulation

If an assessment of risk approach is taken, the entity must document what alternative
 containment strategies and/or work practices are being employed for specific dosage
 forms to minimize occupational exposure. If used, the assessment of risk must be
 reviewed at least annually and the review documented.

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#### **3. TYPES OF EXPOSURE**

- 78 Routes of unintentional entry of HDs into the body include dermal and mucosal
- 79 absorption, inhalation, injection, and ingestion (e.g., contaminated foodstuffs, spills, or
- 80 mouth contact with contaminated hands). Both clinical and nonclinical personnel may be
- 81 exposed to HDs when they handle HDs or touch contaminated surfaces. <u>Table 1</u> lists
- 82 examples of potential routes of exposure based on activity.
- 83

#### Table 1. Examples of Potential Routes of Exposure Based on Activity

Activity	Potential Route of Exposure	
Dispensing	<ul> <li>Counting tablets and capsules from bulk containers</li> </ul>	
Compounding	<ul> <li>Crushing tablets or opening capsules</li> <li>Pouring oral or topical liquids from one container to another</li> <li>Weighing or mixing components</li> <li>Constituting or reconstituting powdered or lyophilized HDs</li> <li>Withdrawing or diluting injectable HDs from parenteral containers</li> <li>Expelling air or HDs from syringes</li> <li>Contacting HD residue present on PPE or other garments</li> <li>Deactivating, decontaminating, cleaning, and disinfecting areas contaminated with or suspected to be contaminated with HDs</li> <li>Maintenance activities for potentially contaminated equipment and devices</li> </ul>	
Administration	<ul> <li>Generating aerosols during administration of HDs by various routes (e.g. injection, irrigation, oral, inhalation, or topical application)</li> <li>Performing certain specialized procedures (e.g., intraoperative intraperitoneal injection or bladder instillation)</li> <li>Priming an IV administration set</li> </ul>	
Patient-care activities	<ul> <li>Handling body fluids (e.g., urine, feces, sweat, or vomit) or body-fluid-contaminated clothing, dressings, linens, and other</li> </ul>	

Activity	Potential Route of Exposure	
	materials	
Spills	<ul> <li>Spill generation, management, and disposal</li> </ul>	
Receipt	<ul> <li>Contacting with HD residues present on drug container, individual dosage units, outer containers, work surfaces, or floors</li> </ul>	
Transport	<ul> <li>Moving HDs within a healthcare setting</li> </ul>	

#### 4. RESPONSIBILITIES OF PERSONNEL HANDLING HAZARDOUS DRUGS

86 Each entity must have a designated person who is gualified and trained to be responsible for developing and implementing appropriate procedures; overseeing entity 87 88 compliance with this chapter and other applicable laws, regulations, and standards; 89 ensuring competency of personnel; and ensuring environmental control of the storage 90 and compounding areas. The designated individual must thoroughly understand the 91 rationale for risk-prevention policies, risks to themselves and others, risks of noncompliance that may compromise safety, and the responsibility to report potentially 92 hazardous situations to the management team. The designated individual must also be 93 94 responsible for the continuous monitoring of the facility and maintaining reports of testing/sampling performed in facilities. 95 96 All personnel who handle HDs are responsible for understanding the fundamental 97 practices and precautions and for continually evaluating these procedures and the 98 quality of final HDs to prevent harm to patients, minimize exposure to personnel, and 99 minimize contamination of the work and care environment. 100 101 **5. FACILITIES** 102 HDs must be handled under conditions that promote patient safety, worker safety, 103 environmental protection, and infection prevention. Access to areas where HDs are 104 handled must be restricted to authorized personnel to protect persons not involved in 105 HD handling. HD handling areas must be located away from breakrooms and 106 refreshment areas for personnel, patients, or visitors to reduce risk of exposure. Signs 107 designating the hazard must be prominently displayed before the entrance to the HD 108 handling areas. 109 Designated areas must be available for: 110 Receipt and unpacking of antineoplastic HDs or HD API 111 Storage of HDs Nonsterile HD compounding (if performed by the entity) 112 113 • Sterile HD compounding (if performed by the entity)

114	5.1 Receipt
115 116 117 118	Antineoplastic HDs and APIs must be unpacked (i.e., removal from external shipping containers) in an area that is neutral/normal or negative pressure relative to the surrounding areas. HDs must not be unpacked from their shipping containers in sterile compounding areas or in positive pressure areas.
119	5.2 Storage
120 121 122 123 124 125 126 127 128 129 130 131 132 133	HDs must be stored in a manner that prevents spillage or breakage if the container falls. Do not store HDs on the floor. In areas prone to specific types of natural disasters (e.g., earthquakes) the manner of storage must meet applicable safety precautions, such as secure shelves with raised front lips. Non-antineoplastic, reproductive risk only, and final dosage forms of antineoplastic HDs may be stored with other inventory. Antineoplastic HDs requiring manipulation other than counting final dosage forms and any HD API must be stored separately from non-HDs in a manner that prevents contamination and personnel exposure. These HDs must be stored in a negative-pressure room with at least 12 air changes per hour (ACPH). Sterile and nonsterile HDs may be stored together. Depending upon facility design, HDs may be stored within a negative pressure buffer room with at least 12 ACPH. However, only HDs used for sterile compounding may be stored in the negative pressure buffer room.
134 135 136 137 138	Refrigerated antineoplastic HDs must be stored in a dedicated refrigerator in a negative pressure area with at least 12 ACPH [e.g., storage room, buffer room, or containment segregated compounding area (C-SCA)]. If a refrigerator is placed in a negative pressure buffer room, an exhaust located adjacent to the refrigerator's compressor and behind the refrigerator should be considered.
139	5.3 Compounding
140 141 142 143 144 145 146 147 148 149 150 151	Engineering controls are required to protect the preparation from cross-contamination and microbial contamination (if preparation is intended to be sterile) during all phases of the compounding process. Engineering controls for containment are divided into three categories representing primary, secondary, and supplementary levels of control. A containment primary engineering control (C-PEC) is a ventilated device designed to minimize worker and environmental HD exposure when directly handling HDs. Containment secondary engineering controls (C-SEC) is the room in which the C-PEC is placed. Supplemental engineering controls [e.g., closed-system drug-transfer device (CSTD)] are adjunct controls to offer additional levels of protection. <i>Appendix B</i> provides examples for designs of HD compounding areas. Sterile and nonsterile HDs must be compounded within a C-PEC located in a C-SEC. The C-SEC used for sterile and nonsterile compounding must:
152 153	<ul> <li>Be externally vented through high-efficiency particulate air (HEPA) filtration</li> <li>Be physically separated (i.e., a different room from other preparation areas)</li> </ul>

Have a negative pressure between 0.01 and 0.03 inches of water column

155 The C-PEC must operate continuously if used for sterile compounding or if the C-PEC

156 supplies the negative pressure. If there is any loss of power to the unit, or if repair or

157 moving occurs, all activities occurring in the C-PEC must be suspended immediately. If

158 necessary, protect the unit by covering it appropriately per the manufacturer's

- recommendations. Once the C-PEC can be powered on, decontaminate, clean, and 159
- 160 disinfect (if used for sterile compounding) all interior surfaces and wait the
- 161 manufacturer-specified recovery time before resuming compounding.
- 162 A sink must be available for hand washing as well as emergency access to water for
- 163 removal of hazardous substances from eyes and skin. An eyewash station and/or other
- 164 emergency or safety precautions that meet applicable laws and regulations must be readily available. However, care must be taken to locate them in areas where their 165
- presence will not interfere with required ISO classifications. 166
- 167 For entities that compound both nonsterile and sterile HDs, the respective C-PECs
- 168 must be placed in segregated rooms separate from each other, unless those C-PECs
- 169 used for nonsterile compounding are sufficiently effective that the room can
- 170 continuously maintain ISO 7 classification throughout the nonsterile compounding
- activity. If the C-PECs used for sterile and nonsterile compounding are placed in the 171
- same room, they must be placed at least 1 meter apart and particle-generating activity 172
- 173 must not be performed when sterile compounding is in process.
- 174

### **5.3.1 NONSTERILE COMPOUNDING**

175 In addition to this chapter, nonsterile compounding must follow standards in

- Pharmaceutical Compounding-Nonsterile Preparations (795). A C-PEC is not 176
- 177 required if manipulations are limited to handling of final dosage forms (e.g., tablets and capsules) that do not produce particles, aerosols, or gasses.
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- 179 The C-PECs used for manipulation of nonsterile HDs must be either externally vented 180 (preferred) or redundant–HEPA filtered in series. Nonsterile HD compounding must be
- performed in a C-PEC that provides personnel and environmental protection, such as a 181
- Class I Biological Safety Cabinet (BSC) or Containment Ventilated Enclosure (CVE). A 182
- 183 Class II BSC or a compounding aseptic containment isolator (CACI) may be also be
- 184 used. For occasional nonsterile HD compounding, a C-PEC used for sterile
- compounding (e.g., Class II BSC or CACI) may be used but must be decontaminated. 185
- 186 cleaned, and disinfected before resuming sterile compounding in that C-PEC. A C-PEC
- 187 used only for nonsterile compounding does not need to have unidirectional airflow
- because the critical environment does not need to be ISO classified. 188
- 189 The C-PEC must be placed in a C-SEC that has at least 12 ACPH. Table 2
- 190 summarizes the engineering controls required for nonsterile HD compounding.
- 191 Due to the difficulty of cleaning HD contamination from surfaces, the architectural
- 192 finish requirements (e.g., smooth, seamless, and impervious surfaces) described in
- 193 Pharmaceutical Compounding—Sterile Preparations (797) also apply to nonsterile
- 194 compounding areas.
- 195

#### Table 2. Engineering Controls for Nonsterile HD Compounding

C-PEC	C-SEC Requirements
<ul> <li>Externally vented (preferred) or redundant–HEPA filtered in series</li> </ul>	<ul> <li>12 ACPH</li> <li>Externally vented</li> </ul>

SEC Requirements
ive pressure between 0.01 0.03 inches of water column

#### 5.3.2 STERILE COMPOUNDING

- 197 In addition to this chapter, applicable sterile compounding standards in (<u>797</u>) must be 198 followed.
- All C-PECs used for manipulation of sterile HDs must be externally vented. Sterile HD
- 200 compounding must be performed in a C-PEC that provides a Class 5 or better air
- 201 quality, such as a Class II or III BSC, or CACI. Class II BSC types A2, B1, or B2 are all
- acceptable. For most known HDs, type A2 cabinets offer a simple and reliable
- integration with the ventilation and pressurization requirements of the C-SEC. Class II
- type B2 BSCs are typically reserved for use with volatile components. *Appendix C* describes the different types of BSCs.
- 206 A laminar airflow workbench (LAFW) or compounding aseptic isolator (CAI) must not
- 207 be used for the compounding of an antineoplastic HD. A BSC or CACI used for the
- 208 preparation of HDs must not be used for the preparation of a non-HD unless the non-
- 209 HD preparation is placed into a protective outer wrapper during removal from the C-
- 210 PEC and is labeled to require PPE handling precautions.
- The C-PEC must be located in a C-SEC, which may either be an ISO Class 7 buffer
- room (preferred) or an unclassified containment segregated compounding area (C SCA). If the C-PEC is placed in a C-SCA, the beyond-use date (BUD) of all
- compounded sterile preparations (CSPs) prepared must be limited as defined in (797)
- 214 compounded stellie preparations (CSPs) prepared must be limited as defined in (<u>797</u> 215 for CSPs prepared in a segregated compounding area. *Table 3* summarizes the
- 216 engineering controls required for sterile HD compounding.
- 217

### Table 3. Engineering Controls for Sterile HD Compounding

<b>Configuration</b>	C-PEC	C-SEC	Maximum BUD
ISO Class 7 Buffer Room	<ul> <li>Externally Vented</li> <li>Examples: Class II BSC or CACI</li> </ul>	<ul> <li>30 ACPH</li> <li>Externally vented</li> <li>Negative pressure between 0.01 and 0.03 inches of water column</li> </ul>	As described in 〈 797 〉
C-SCA	<ul> <li>Externally Vented</li> <li>Examples: Class II BSC or CACI</li> </ul>	<ul> <li>12 ACPH</li> <li>Externally vented</li> <li>Negative pressure between 0.01 and 0.03 inches of water column</li> </ul>	As described in 797 ) for segregated compounding area

minimum of 30 ACPH of HEPA-filtered supply air. 220 221 Because the room through which entry into the HD buffer room (e.g., ante-area or non-HD buffer room) plays an important role in terms of total contamination control, the 222 223 following is required: Minimum of 30 ACPH of HEPA-filtered supply air 224 225 Maintain a positive pressure of 0.02 inches of water column relative to all 226 adjacent unclassified spaces Maintain an air guality of ISO Class 7 or better 227 228 This provides for inward air migration of equal cleanliness classified air into the 229 negative pressure buffer room to contain any airborne HD. A hand-washing sink must be placed at least 1 meter from the entrance of the buffer room to avoid contamination 230 231 migration into the negative pressure HD buffer room. Although not a recommended facility design, if the negative-pressure HD buffer room 232 233 is entered though the positive-pressure non-HD buffer room, the following is required: 234 A line of demarcation must be defined within the negative-pressure buffer area 235 for garbing and degarbing A method to transport HDs, CSPs, and waste into and out of the negative 236 pressure buffer room to minimize the spread of HD contamination. This may be 237 238 accomplished by use of a pass-through between the negative-pressure buffer 239 area and adjacent space. The pass-through must be included in the facility's 240 certification to ensure that particles are not compromising the air quality of the negative-pressure buffer room. Do not use a refrigerator pass-through. Other 241 methods of containment (such as sealed containers) may be used if the entity 242 can demonstrate HD containment and appropriate environmental control. 243 244 HD CSPs prepared in an ISO Class 7 buffer room may use the BUDs described in ( 245 797), based on the categories of CSP, sterility testing, and storage temperature. 246 247 **Containment segregated compounding area (C-SCA):** The C-PEC may be placed 248 in an unclassified C-SCA that has a negative pressure between 0.01 and 0.03 inches of 249 water column relative to all adjacent spaces and has a minimum of 12 ACPH of HEPA-250 filtered supply air. A hand-washing sink must be placed at least 1 meter from C-PEC. 251 Only low- and medium-risk HD CSPs may be prepared in a C-SCA. HD CSPs 252 prepared in the C-SCA must not exceed the BUDs described in (797) for CSPs 253 prepared in a segregated compounding area. 254 5.4 Containment Supplemental Engineering Controls 255 Containment supplemental engineering controls, such as CSTDs, provide adjunct

that has a negative pressure between 0.01 and 0.03 inches of water column and has a

The C-PEC may be placed in an ISO Class 7 buffer room

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ISO class 7 buffer room:

controls to offer additional levels of protection during compounding or administration.
 Some CSTDs have been shown to limit the potential of generating aerosols during

258 compounding. However, there is no certainty that all CSTDs will perform adequately.

259 260 261 262	Since there is no published universal performance standard for evaluation of CSTD containment, users should carefully evaluate the performance claims associated with available CSTDs based on independent studies and demonstrated containment reduction.
263 264 265	A CSTD must not be used as a substitute for a C-PEC when compounding. CSTDs should be used when compounding HDs when the dosage form allows. CSTDs must be used when administering HDs when the dosage form allows.
266 267	6. ENVIRONMENTAL QUALITY AND CONTROL
268 269 270	Environmental wipe sampling should be performed routinely (e.g., initially as a benchmark and at least every 6 months, or more often as needed, to verify containment). Surface wipe sampling should include:
271 272	<ul> <li>Interior of the C-PEC and equipment contained in it</li> <li>Staging or work areas near the C-PEC</li> </ul>
272 273 274	<ul> <li>Areas adjacent to C-PECs (e.g., floors directly under staging and dispensing area)</li> </ul>
275	Patient administration areas
276 277 278 279 280 281	There are currently no studies demonstrating the effectiveness of a specific number or size of wipe samples in determining levels of HD contamination. Wipe sampling kits should be verified before use to ensure the method and reagent used have been tested to recover a specific percentage of known marker drugs from various surface types found in the sampled area. There are currently no certifying agencies for vendors of wipe sample kits.
282 283 284 285 286 287 288 289 290 291	There is currently no standard for acceptable limits for HD surface contamination. Common marker HDs that can be assayed include cyclophosphamide, ifosfamide, methotrexate, fluorouracil, and platinum-containing drugs. An example of measurable contamination would be cyclophosphamide levels >1.00 ng/cm², which were shown in some studies to result in uptake of the drug in exposed workers. If any measurable contamination is found, the compounding supervisor must identify, document, and contain the cause of contamination. Such action may include reevaluating work practices, re-training personnel, performing thorough deactivation/decontamination and cleaning, and improving engineering controls. Repeat the wipe sampling to validate that the deactivation/decontamination and cleaning steps have been effective.
292 293	7. PERSONAL PROTECTIVE EQUIPMENT
294 295 296 297 298 299	Personal Protective Equipment (PPE) provides worker protection to reduce exposure to HD aerosols and residues. When performing a task in situations where C-PECs are not generally available, such as treating a patient or cleaning a spill, additional PPE may be required. The NIOSH list of antineoplastic and other HDs provides some general guidance on PPE for possible scenarios that may be encountered in healthcare settings.
300 301	Gloves, gowns, head, hair, and shoe covers are required for compounding sterile and nonsterile HDs. Gloves are required for administering antineoplastic HDs. Gowns are

302 required when administering injectable antineoplastic HDs. For all other activities, the

entity's SOP must describe the appropriate PPE to be worn based on its occupational 303 safety plan and assessment of risk (if used). The entity must develop SOPs for PPE

- 304
- 305 based on the risk of exposure (see Types of Exposure) and activities performed.
- Appropriate PPE must be worn when handling HDs including during: 306
- 307 Receipt
- 308 Storage
- 309 • Transport
- 310 Compounding (sterile and nonsterile)
- 311 Administration 312
  - Deactivation/Decontamination, Cleaning, and Disinfecting
- 313 Spill Control
- 314

## 7.1 Gloves

- 315 When required, chemotherapy gloves must be tested to American Society for Testing
- 316 and Materials (ASTM) standard D6978 (or its successor). Chemotherapy gloves must
- be powder-free because powder can contaminate the work area and can adsorb and 317
- 318 retain HDs. Gloves must be inspected for physical defects before use. Do not use
- 319 gloves with pin holes or weak spots.
- Chemotherapy gloves must be changed every 30 min or when torn, punctured, or 320 321 contaminated.
- 322

## 7.2 Gowns

- 323 When required, disposable gowns must be tested and shown to resist permeability by 324 HDs. Gowns must be selected based on the HDs handled. Disposable gowns made of polyethylene-coated polypropylene or other laminate materials offer better protection 325 326 than those made of uncoated materials. Gowns must close in the back (i.e., no open
- 327 front), be long sleeved, and have closed cuffs that are elastic or knit. Gowns must not
- 328 have seams or closures that could allow HDs to pass through.
- 329 Cloth laboratory coats, surgical scrubs, isolation gowns, or other absorbent materials 330 are not appropriate outerwear when handling HDs because they permit the permeation
- of HDs and can hold spilled drugs against the skin, thereby increasing exposure. 331
- 332 Clothing may also retain HD residue from contact, and may transfer to other healthcare
- 333 workers or various surfaces. Washing of non-disposable clothing contaminated with HD
- 334 residue may transfer drug residue to other clothing.
- Gowns must be changed per the manufacturer's information for permeation of the 335
- 336 gown. If no permeation information is available for the gowns used, change them every
- 337 2-3 hours or immediately after a spill or splash. Gowns worn in HD handling areas must
- not be worn to other areas in order avoid spreading HD contamination and exposing 338 339 other healthcare workers.
- 340

## 7.3 Head, Hair, Shoe, and Sleeve Covers

- 341 Head and hair covers (including beard and moustache, if applicable) and shoe covers
- 342 provide protection from contact with HD residue on surfaces and floors. When
- compounding sterile HDs, a second pair of shoe covers must be donned before entering 343

- 344 the buffer room and removed when exiting the buffer room. Shoe covers worn in HD
- 345 handling areas must not be worn to other areas to avoid spreading HD contamination
- 346 and exposing other healthcare workers.
- 347 Disposable sleeve covers constructed of coated materials may be used to protect
- 348 areas of the arm that may come in contact with HDs. If used, sleeve covers must be
- 349 carefully removed and properly disposed of after the task is completed.

## 7.4 Eye and Face Protection

351 Many HDs are irritating to the eyes and mucous membranes. Appropriate eye and face protection must be worn when there is a risk for spills or splashes of HDs or HD waste 352 materials when working outside of a C-PEC (e.g., administration in the surgical suite, 353 354 working at or above eye level, or cleaning a spill). A full-facepiece respirator provides 355 eve and face protection. Goggles must be used when eve protection is needed. Eve glasses alone or safety glasses with side shields do not protect the eyes adequately 356 357 from splashes. Face shields in combination with goggles provide a full range of 358 protection against splashes to the face and eyes. Face shields alone do not provide full

- 359 eye and face protection.
- 360

### 7.5 Respiratory Protection

361 For most activities requiring respiratory protection, a fit-tested NIOSH-certified N95 or

362 more protective respirator is sufficient to protect against airborne particles. However,

363 N95 respirators offer no protection against gases and vapors and little protection

- against direct liquid splashes (see the Centers for Disease Control and Prevention's
   (CDC's) Respirator Trusted-Source Information).
- 366 Surgical masks do not provide respiratory protection from drug exposure and must not 367 be used when respiratory protection is required. A surgical N95 respirator provides the 368 respiratory protection of an N95 respirator, and like a surgical mask, provides a barrier 369 to splashes, droplets, and sprays around the nose and mouth.
- Personnel who are unpacking HDs that are not contained in plastic should wear an
- are not contained in plastic should wear an
   elastomeric half-mask with a multi-gas cartridge and P100-filter. If the type of drug can
   be better defined, then a more targeted cartridge can be used.
- 373 Fit test the respirator and train workers to use respiratory protection. Follow all
- 374 requirements in the Occupational Safety and Health Administration (OSHA) respiratory
- 375 protection standard (29 CFR 1910.134). An appropriate full-facepiece, chemical
- 376 cartridge-type respirator must be worn when attending to HD spills larger than what can
- be contained with a spill kit, or when there is a known or suspected airborne exposure
   to powders or vapors.
- 379

## 7.6 Disposal of Used Personal Protective Equipment

Consider all PPE worn when handling HDs to be contaminated with, at minimum, trace
 quantities of HDs. PPE must be placed in an appropriate waste container and further

- 382 disposed of per local, state, and federal regulations. PPE used during compounding
- 383 should be disposed of in the proper waste container before leaving the C-SEC.
- 384 Chemotherapy gloves worn during compounding must be carefully removed and
- 385 discarded immediately in an approved HD waste container inside the C-PEC or
- 386 contained in a sealable bag for discarding outside the C-PEC. Potentially contaminated
- 387 clothing must not be taken home under any circumstances.

388	
389	8. HAZARD COMMUNICATION PROGRAM
390	Entities are required to establish policies and procedures that ensure worker safety
391	during all aspects of HD handling. The entity must develop SOPs to ensure effective
392	training regarding proper labeling, transport, and storage of the HDs and use of Safety
393 394	Data Sheets (SDS), based on the Globally Harmonized System of Classification and Labeling of Chemicals (GHS).
395	Elements of the plan must include:
396 397	<ul> <li>A written plan that describes how the standard will be implemented.</li> <li>All containers of begardous chamicals must be labeled, tagged, or marked with</li> </ul>
397 398	<ul> <li>All containers of hazardous chemicals must be labeled, tagged, or marked with the identity of the material and appropriate hazard warnings.</li> </ul>
399	<ul> <li>Entities must have an SDS for each hazardous chemical they use.</li> </ul>
400	<ul> <li>Entities must ensure that the SDSs for each hazardous chemical used are readily</li> </ul>
401	accessible to personnel during each work shift and when they are in their work
402 403	<ul> <li>areas.</li> <li>Personnel who may be exposed to hazardous chemicals when working must be</li> </ul>
404	provided information and training before the initial assignment to work with a
405	hazardous chemical, and also whenever the hazard changes.
406	
408 407	9. PERSONNEL TRAINING
408	All personnel who handle HDs must be fully trained based on their job functions (e.g.,
409	in the receipt, storage, handling, compounding, dispensing, and disposal of HDs).
410	Training must occur before the employee independently handles HDs. The
411	effectiveness of training for HD handling competencies must be demonstrated by each
412 413	employee. Personnel competency must be reassessed at least every 12 months and when a new HD or new equipment is used or a new or significant change in process or
413	SOP occurs. All training and competency assessment must be documented.
415	The training must include at least the following:
110	
416 417	<ul> <li>Overview of entity's list of HDs and their risks</li> <li>Review of the entity's SOPs related to handling of HDs</li> </ul>
418	<ul> <li>Proper use of PPE</li> </ul>
419	<ul> <li>Proper use of equipment and devices (e.g., engineering controls)</li> </ul>
420	Spill management
421	<ul> <li>Response to known or suspected HD exposure</li> </ul>
422	
423	10. RECEIVING
424	The entity must establish SOPs for receiving HDs. HDs should be received from the
425	supplier sealed in impervious plastic to segregate them from other drugs and to improve
426 427	safety in the receiving and internal transfer process. HDs must be delivered to the HD storage area immediately upon arrival.
/	

- 428 PPE, including ASTM-tested, powder-free chemotherapy gloves, must be worn when
- 429 unpacking HDs (see *Personnel Protective Equipment*). A spill kit must be accessible in 430 the receiving area.
- 431 The entity must enforce policies that include a tiered approach, starting with visual
- 432 examination of the shipping container for signs of damage or breakage (e.g., visible
- 433 stains from leakage, sounds of broken glass containers). <u>Table 4</u> summarizes the steps
- 434 for receiving and handling of damaged shipping containers.
- 435
- 436
- Table 4. Summary of Requirements for Receiving and Handling Damaged HD

   Shipping Containers

If the shipping container appear damaged	<ul> <li>Seal container without opening and contact the supplier for instructions</li> <li>If the unopened package is to be returned to the supplier, enclose the package in an impervious container and label the outer container "Hazardous"</li> <li>If the supplier declines return, dispose of properly</li> </ul>
If a damaged shipping container must be opened	<ul> <li>Seal the container in plastic or an impervious container</li> <li>Transport it to a C-PEC and place on a plastic-backed preparation mat</li> <li>Open the package and remove usable items.</li> <li>Wipe the outside of the usable items with a disposable wipe.</li> <li>Enclose the damaged item(s) in an impervious container and label the outer container "Hazardous"</li> <li>If the supplier declines return, dispose of properly</li> <li>Decontaminate/deactivate and clean the C-PEC (see <i>Deactivation/Decontamination, Cleaning, and Disinfection</i>) and discard the mat and cleaning disposables as hazardous waste</li> </ul>

- 437 When opening damaged shipping containers, they should preferably be transported to 438 a C-PEC designated for nonsterile compounding. If a C-PEC designated for sterile 439 compounding is the only one available, it must be thoroughly disinfected after the
- 440 decontamination/deactivation and cleaning step before returning to any sterile
- 441 compounding activity.
- 442 Damaged packages or shipping cartons must be considered spills that must be
- 443 reported to the designated person and managed according to the entity's SOPs. Clean-
- 444 up must comply with established SOPs.
- 445 446

- 11. LABELING, PACKAGING, AND TRANSPORT
- The entity must establish SOPs for the labeling, handling, packaging, and transport of
   HDs. The SOPs must address prevention of accidental exposures or spills, personnel
   training on response to exposure, and use of a spill kit. Examples of special exposure-
- 450 reducing strategies include small-bore connectors (such as Luer Lock) and syringes,

451 452	syringe caps, CSTDs, the capping of container ports, sealed impervious plastic bags, impact-resistant and/or water-tight containers, and cautionary labeling.
453	11.1 Labeling
454 455	HDs identified by the entity as requiring special HD handling precautions must be clearly labeled at all times during their transport.
456	11.2 Packaging
457 458 459 460 461 462 463	Compounding personnel must select and use packaging containers and materials that will maintain physical integrity, stability, and sterility (if needed) of the HDs during transport. Packaging materials must protect the HD from damage, leakage, contamination, and degradation, while protecting healthcare workers who transport HDs. The entity must have written SOPs to describe appropriate shipping containers and insulating materials, based on information from product specifications, vendors, mode of transport, and experience of the compounding personnel.
464	11.3 Transport
465 466 467 468 469 470 471 472 473	HDs that need to be transported must be labeled, stored, and handled in accordance with applicable federal, state, and local regulations. HDs must be transported in containers that minimize the risk of breakage or leakage. Pneumatic tubes must not be used to transport any liquid or antineoplastic HDs because of the potential for breakage and contamination. When shipping HDs to locations outside the entity, the entity must consult the Transport Information on the SDS. The entity must ensure that labels and accessory labeling for the HDs include storage instructions, disposal instructions, and HD category information in a format that is consistent with the courier's policies.
474	12. DISPENSING FINAL DOSAGE FORMS
475 476 477 478 479 480 481 482 483	HDs that do not require any further manipulation other than counting final dosage forms may be dispensed without any further requirements for containment unless required by the manufacturer or if visual indicators of HD exposure hazards (e.g., HD dust or leakage) are present. Counting of HDs should be done carefully. Clean equipment should be dedicated for use with these drugs. Tablet and capsule forms of HDs must not be placed in automated counting or packaging machines, which subject them to stress and may introduce powdered contaminants into the work area.
484 485	13. COMPOUNDING
486 487 488 489 490 491 492	Entities and personnel involved in compounding HDs must be compliant with the appropriate USP standards for compounding including (795) and (797). Compounding must be done in proper engineering controls as described in <i>Compounding</i> . When compounding nonsterile and sterile HD preparations in a C-PEC, a plastic-backed preparation mat must be placed on the work surface of the C-PEC. The mat should be changed immediately if a spill occurs and regularly during use, and should be discarded at the end of the daily compounding activity. Disposable or clean

equipment for compounding (such as mortars and pestles, and spatulas) must be 494 dedicated for use with HDs. Compounding personnel must ensure that the labeling 495 processes for compounded preparations do not introduce contamination into non-HD 496 handling areas. 497 When compounding nonsterile HD preparations, use commercially available products 498 as starting ingredients whenever possible. Liquid formulations are preferred over 499 crushing tablets or opening capsules. APIs should only be used when there are no other 500 options. When compounding sterile HD preparations, APIs should be avoided if a 501 suitable manufactured product is available and appropriate for use (e.g., use an 502 injectable product rather than API). 503 Bulk containers of liquid and API HD must be handled carefully to avoid spills. If used, 504 APIs should be handled in a C-PEC to protect against occupational exposure, 505 especially during particle generating activities (such as crushing tablets, opening 506 capsules, and weighing powder). 507 508 **14. ADMINISTERING** 509 HDs must be administered safely using protective medical devices and techniques. 510 Examples of protective medical devices include needleless and closed systems. Examples of protective techniques include spiking or priming of IV tubing in a C-PEC 511 512 and crushing tablets in plastic sleeves. 513

Appropriate PPE must be worn when administering HDs. After use, PPE must be

514 removed and disposed of in an approved HD waste container at the site of drug

515 administration. Equipment (such as tubing and needles) and packaging materials must 516 be disposed of properly, such as in HD waste containers after administration.

CSTDs must be used for administration when the dosage form allows. Techniques and 517

518 ancillary devices that minimize the risk posed by open systems must be used when

519 administering HDs through certain routes. Administration into certain organs or body

520 cavities (e.g., the bladder, eye, peritoneal cavity, or chest cavity) often requires

521 equipment for which locking connections may not be readily available or possible.

522 Healthcare personnel should avoid manipulating HDs such as crushing tablets or

523 opening capsules if possible. Liquid formulations are preferred if solid oral dosage forms 524 are not appropriate for the patient. If HD dosage forms do require manipulation such as

525 crushing tablet(s) or opening capsule(s) for a single dose, personnel must don

526 appropriate PPE and use a plastic sleeve to contain any dust or particles generated.

527 The Oncology Nursing Society (ONS) Safe Handling of Hazardous Drugs publication

528 contains additional information on handling HDs for administration.

529

493

530

## **15. DEACTIVATION/DECONTAMINATION, CLEANING, AND DISINFECTION**

531 All areas where HDs are handled (e.g., such as during receiving, compounding, 532 transport, administering, and disposal) and all reusable equipment and devices (e.g., C-533 PEC, carts, and trays) must be routinely deactivated/decontaminated and cleaned. 534 Additionally, sterile compounding areas and devices must be subsequently disinfected. All healthcare personnel who perform deactivation/decontamination, cleaning, and 535 536 disinfection activities in HD handling areas must be trained in appropriate procedures to protect themselves and the environment from contamination. All personnel performing 537

- 538 these activities must wear appropriate PPE resistant to the cleaning agents used,
- 539 including two pairs of ASTM-tested chemotherapy gloves and impermeable disposable
- 540 gowns. Consult manufacturer or supplier information for compatibility with cleaning
- <sup>541</sup> agents used. Additionally, eye protection and face shields must be used if splashing is
- 542 possible. Respiratory protection must be used if warranted by the activity.
- 543 The entity must establish written procedures for decontamination, deactivation,
- 544 cleaning, and disinfection (for sterile compounding areas). Cleaning of nonsterile and
- 545 sterile compounding areas must also comply with (<u>795</u>) and (<u>797</u>). Written
- 546 procedures for cleaning must include procedures, agents used, dilutions used,
- 547 frequency, and documentation requirements. <u>*Table 5*</u> summarizes the purpose and 548 example agents for each step.
- 549 The deactivating, decontaminating, cleaning, and disinfecting agents selected must be 550 appropriate for the type of HD contaminant(s), location, and surface materials. The
- 551 products used must not contaminate the surfaces with substances that are toxic,
- 552 volatile, corrosive, or otherwise harmful to the surface material. Perform cleaning in
- 553 areas that are sufficiently ventilated to prevent accumulation of hazardous airborne drug
- 554 concentrations and decontamination agents.

inorganic material

microorganisms

Destroy

555

	Cleaning Step	Purpose <b>-</b>	Agents
	Deactivation	Render compound inert or inactive	As listed in the HD labeling or if no specific information available, sodium hypochlorite or other Environmental Protection Agency (EPA)- registered oxidizer
	Decontamination	Remove inactivated residue	Sterile alcohol, sterile water, peroxide, or <mark>sodium hypochlorite</mark>
	<b>Cleaning</b>	Remove organic and	Germicidal detergent and sterile water

#### Table 5. Summary of Cleaning Steps

Disinfection

#### 15.1 Deactivation/Decontamination

Sterile alcohol or other EPA-registered

disinfectant appropriate for use

557 Deactivation renders a compound inert or inactive. Decontamination occurs by physically removing HD residue from non-disposable surfaces and transferring it to 558 559 absorbent, disposable materials (e.g., wipes, pads, or towels) appropriate to the area 560 being cleaned. All disposable materials must be discarded as contaminated HD waste. Chemical deactivation of HD residue is preferred, but no single process has been 561 562 found to deactivate all currently available HDs. Studies have examined oxidizing agents 563 such as potassium permanganate, hydrogen peroxide, and sodium hypochlorite; vaporized hydrogen peroxide and detergents; and high- and low-pH solutions, all with 564 565 varying results. Some potential deactivators have produced byproducts that are as hazardous as the original drug. Other deactivators have respiratory effects or result in 566 caustic damage to surfaces. Note that sodium hypochlorite is corrosive to stainless steel 567 568 surfaces if left untreated; therefore, sodium hypochlorite must be neutralized with sodium thiosulfate or followed by use of a germicidal detergent. 569

570 571 572 573 574 575 576 577 578 579	A multi-component deactivation system is theoretically more efficient than a single- agent system because of the diverse nature of HDs. One commercially available product provides a system for decontamination and deactivation using sodium hypochlorite, surfactant, and thiosulfate neutralizer. This combination product, followed by rinsing, has been shown to be effective for cleaning HD-contaminated surfaces. Other products use combinations of deactivating agents and/or cleaning agents, followed by rinsing and disinfecting. Because of the growing number of assays available for HDs, additional surface wipe sampling is now possible and should be done to document the effectiveness of any agent used for decontamination of HD residue from work surfaces (see <i>Environmental Quality and Control</i> ).
580	15.2 Cleaning and Disinfection
581 582 583 584 585	Cleaning is a process that results in the removal of contaminants (e.g., soil, microbial contamination, HD residue) from objects and surfaces using water, detergents, surfactants, solvents, and/or other chemicals. Disinfection is a process of destroying microorganisms. Disinfection must be done for areas intended to be sterile including the sterile compounding areas.
586	15.3 Cleaning the Compounding Area
587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607	The <u>Cleaning and Disinfecting the Compounding Area</u> section in ( <u>797</u> ) applies to both sterile and nonsterile HD compounding areas. Cleaning agents used on compounding equipment should not introduce microbial contamination. All C-PEC used for either nonsterile or sterile compounding must be decontaminated between compounding of different HDs, any time a spill occurs, before and after certification, any time voluntary interruption occurs, and if the ventilation tool is moved. No cleaning step may be performed when compounding activities are occurring. The amount of HD contamination introduced into the C-PEC may be reduced by surface decontamination (i.e., wiping down) of HD containers. Although no wipe-down procedures have been studied, the use of disposable material moistened with alcohol, sterile water, peroxide, or sodium hypochlorite solutions may be effective. To avoid spreading HD residue, spray the wiper, not the HD containing containing areas must be cleaned at least monthly to reduce the contamination level in the C-PEC. Accessing this area may be difficult. Clean as much as possible of the C-PEC surfaces before accessing the area under the work tray. When cleaning the area under the work tray of a C-PEC, the containment airflows are compromised by opening the cabinets. To provide protection to the worker performing this task, respiratory protection may be required. An NIOSH-approved respirator worn by a worker who has been fit tested and cleared to use a respirator would be appropriate.
608 609	16. SPILL CONTROL
610 611 612 613	All personnel who may be required to clean-up a spill of HDs must receive proper training in spill management and the use of PPE and NIOSH-certified respirators (see <i>Personal Protective Equipment</i> ). Spills must be contained and cleaned immediately only by qualified personnel with appropriate PPE. Qualified personnel must be available at

614 all times in entities handling HDs. Signs must be available for restricting access to the 615 spill area. Spill kits containing all of the materials needed to clean HD spills must be readily available in all areas where HDs are routinely handled. If HDs are being 616 617 prepared or administered in a non-routine healthcare area, a spill kit and respirator must be available. All spill materials must be disposed of as hazardous waste. 618 619 The circumstances and management of spills must be documented. Personnel who 620 are potentially exposed during the spill or spill clean-up or who have direct skin or eye 621 contact with HDs require immediate evaluation. Non-employees exposed to an HD spill 622 should report to the designated emergency service for initial evaluation and also 623 complete an incident report or exposure form. 624 SOPs must be developed to prevent spills and to direct the clean-up of HD spills. 625 SOPs must address the size and scope of the spill and specify who is responsible for 626 spill management and the type of PPE required. The management of the spill (e.g., 627 decontamination, deactivation, and cleaning) may be dependent on the size and type of spill. The SOP must address the location of spill kits and clean-up materials as well as 628 629 the capacity of the spill kit. Written procedures should address use of appropriate full-630 facepiece, chemical cartridge-type respirators if the capacity of the spill kit is exceeded 631 or if there is known or suspected airborne exposure to vapors or gases. 632

633

## 17. DISPOSAL

Disposal of all HD waste (including unused and unusable HDs) must comply with all
 applicable federal, state, and local regulations. All personnel who perform routine
 custodial waste removal and cleaning activities in HD handling areas must be trained in
 appropriate procedures to protect themselves and the environment to prevent HD
 contamination.

- 639
- 640

### **18. DOCUMENTATION AND STANDARD OPERATING PROCEDURES**

Activities that must be documented include, but are not limited to, the acquisition,
 preparation, and dispensing of an HD; personnel training; and the use and maintenance
 of equipment and supplies. These records must be available for review. Personnel who
 transport, compound, or administer HDs must document their training according to
 OSHA standards (see OSHA Standard 1910.120 Hazardous Waste Operations and
 Emergency Response) and other applicable laws and regulations.

The entity must maintain SOPs for the safe handling of HDs for all situations in which
 these HDs are used throughout a facility. The SOPs must be reviewed at least annually
 by the designated responsible individual, and the review must be documented.

650 Revisions in forms or records must be made as needed and communicated to all

- 651 personnel handling HDs.
- 652 The SOPs for handling of HDs should include:
- Hazard communication program
- Occupational safety program
- 655 Labeling of HDs
- Procurement of HDs
- Use of proper engineering controls (e.g., C-PECs, C-SECs)

658 659 660 661 662 663 664	<ul> <li>Use of PPE based on activity (e.g., receipt, transport, compounding, administration, spill, and disposal)</li> <li>Decontamination/deactivation, cleaning, and disinfection</li> <li>Transport</li> <li>Environmental monitoring</li> <li>Spill control</li> <li>Medical surveillance</li> </ul>
665 666	19. MEDICAL SURVEILLANCE
667 668	Medical surveillance is part of a comprehensive exposure control program
669	complementing engineering controls, safe work processes, and use of PPE. Entities should ensure that healthcare workers who handle HDs as a regular part of their job
670	assignment are enrolled in a medical surveillance program. The general purpose of
671	surveillance is to minimize adverse health effects in personnel potentially exposed to
672	HDs. Medical surveillance programs involve assessment and documentation of
673	symptom complaints, physical findings, and laboratory values (such as a blood count) to
674	determine whether there is a deviation from the expected norms.
675	Medical surveillance can also be viewed as a secondary prevention tool that may
676	provide a means of early detection if a health problem develops. Tracking personnel
677	through medical surveillance allows the comparison of health variables over time in
678	individual workers, which may facilitate early detection of a change in a laboratory value
679	<mark>or health condition. Medical surveillance programs also look for trends in populations o</mark> f
680	workers. Examining grouped data compared with data from unexposed workers may
681	reveal a small alteration or increase in the frequency of a health effect that would be
682	obscured if individual workers' results alone were considered.
683	Medical surveillance evaluates the protection afforded by engineering controls, other
684	administrative controls, safe work processes, PPE, and worker education about the
685	hazards of the materials they work with in the course of their duties. The data-gathering
686	elements of a medical surveillance program are used to establish a baseline of workers'
687	health and then to monitor their future health for any changes that may result from
688 689	exposure to HDs. Elements of a medical surveillance program should be consistent with the entity's
690	Human Resource policies and should include:
070	numan resource policies and should include.
691	<ul> <li>Development of an organized approach to identify workers who are potentially</li> </ul>
692	exposed to HDs on the basis of their job duties
693	<ul> <li>Use of an 'entity-based' or contracted employee health service to perform the</li> </ul>
694	medical surveillance while protecting the confidentiality of the employees'
695	personal medical information
696	<ul> <li>Initial baseline assessment (pre-placement) of a worker's health status and</li> </ul>
697	medical history. Data elements collected include a medical (including
698	reproductive) history and work history to assess exposure to HDs, physical
699	examination, and laboratory testing. Methods used to assess exposure history

700 include a review of:

701	<ul> <li>Records of HDs handled, with quantities and dosage forms</li> </ul>
702	<ul> <li>Number of HD preparations/administrations per week</li> </ul>
703	<ul> <li>Estimates of hours spent handling HDs per week and/or per month</li> </ul>
704 705 706 707 708	<ul> <li>Performance of a physical assessment and laboratory studies linked to target organs of commonly used HDs, such as a baseline complete blood count. Note that biological monitoring to determine blood or urine levels of specific HDs is not currently recommended in surveillance protocols, but may have a role in the follow-up of acute spills with a specific agent.</li> </ul>
709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725	<ul> <li>Medical records of surveillance should be maintained according to OSHA regulation concerning access to employee exposure and medical records</li> <li>Monitoring workers' health prospectively through periodic surveillance using the elements of data gathering described above (updated health and exposure history, physical assessment, and laboratory measures, if appropriate)</li> <li>Monitoring of the data to identify prevention failure leading to health effects; this monitoring may occur in collaboration with the employee health service</li> <li>Development of a follow-up plan for workers who have shown health changes suggesting toxicity or who have experienced an acute exposure. This follow-up should include evaluation of current engineering and administrative controls and equipment to ensure that all systems are appropriately and accurately implemented (see <i>Follow-Up Plan</i> below).</li> <li>Completion of an exit examination when a worker's employment at the entity ends, to document the information on the employee's medical, reproductive, and exposure histories. Examination and laboratory evaluation should be guided by the individual's history of exposures and follow the outline of the periodic evaluation.</li> </ul>
726	19.1 Follow-Up Plan
727 728 729 730 731	The occurrence of exposure-related health changes should prompt immediate re- evaluation of primary preventive measures (e.g., administrative and engineering controls, PPE, and others). In this manner, medical surveillance acts as a check on the effectiveness of controls already in use. The entity should take the following actions:
732 733 734 735 736 737 738 739	<ul> <li>Perform a post-exposure examination tailored to the type of exposure (e.g., spills or needle sticks from syringes containing HDs). An assessment of the extent of exposure should be conducted and included in a confidential database and in an incident report. The physical examination should focus on the involved area as well as other organ systems commonly affected (i.e., the skin and mucous membranes for direct contact or inhalation; the pulmonary system for aerosolized HDs). Treatment and laboratory studies will follow as indicated and be guided by emergency protocols.</li> </ul>

740	<ul> <li>Compare performance of controls with recommended standards; conduct</li> </ul>
741	environmental sampling when analytical methods are available.
742	<ul> <li>Verify and document that all controls are in proper operating condition.</li> </ul>
743	<ul> <li>Verify and document that the worker complied with existing policies. Review</li> </ul>
744	policies for the use of PPE and employee compliance with PPE use and
745	policies. Review availability of appropriate PPE (see Personal Protective
746	Equipment).
747	<ul> <li>Develop and document a plan of action that will prevent additional exposure of</li> </ul>
748	workers.
749	<ul> <li>Ensure confidential, two-way communication between the worker and the</li> </ul>
750	employee health unit(s) regarding notification, discussions about a change in
751	health condition, or detection of an adverse health effect.
752	<ul> <li>Provide and document a follow-up medical survey to demonstrate that the plan</li> </ul>
753	implemented is effective.
754	<ul> <li>Ensure that any exposed worker receives confidential notification of any adverse</li> </ul>
755	health effect. Offer alternative duty or temporary reassignment.
756	<ul> <li>Provide ongoing medical surveillance of all workers at risk for exposure to HDs to</li> </ul>
757	determine whether the plan implemented is effective.
758	
759	APPENDIX A: ACRONYMS AND DEFINITIONS
760	
760	Acronyms
761	

ACPH	Air changes per hour
<mark>API</mark>	Active pharmaceutical ingredient
<mark>ASTM</mark>	American Society for Testing and Materials
<b>BSC</b>	Biological safety cabinet
<mark>BUD</mark>	Beyond-use date
CACI	Compounding aseptic containment isolator
CAI	Compounding aseptic isolator
CDC	Centers for Disease Control and Prevention
C-PEC	Containment primary engineering control
C-SCA	Containment segregated compounding area
C-SEC	Containment secondary engineering control
CSP	Compounded sterile preparation
CSTD	Closed-system drug-transfer device
CVE	Containment ventilated enclosure
EPA	Environmental Protection Agency
GHS	Globally Harmonized System of Classification and Labeling of Chemicals
HD	Hazardous drug

HEPA	High-efficiency particulate air
IV	Intravenous
LAFW	Laminar airflow workbench
NIOSH	National Institute for Occupational Safety and Health
<mark>ONS</mark>	Oncology Nursing Society
<mark>OSHA</mark>	Occupational Safety and Health Administration
PPE	Personal protective equipment
SDS	Safety Data Sheet
SOP	Standard operating procedure
ULPA	Ultra-low particulate air

#### **Definitions**

763

Active pharmaceutical ingredient (API): Any substance or mixture of substances
 intended to be used in the compounding of a drug preparation, thereby becoming the
 active ingredient in that preparation and furnishing pharmacological activity or other
 direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease in

768 humans and animals or affecting the structure and function of the body.

- 769 Alternative duty: Performance of other tasks that do not include the direct handling of
   770 HDs.
- 771 **Assessment of risk:** Evaluation of risk to determine alternative containment 772 strategies and/or work practices.
- Beyond-use date (BUD): The date or time after which a compounded preparation
   must not be used, stored, or transported (see (<u>795</u>) and (<u>797</u>)).
- 775 Biological safety cabinet (BSC): A ventilated cabinet often used for preparation of
- hazardous drugs. These cabinets are divided into three general classes (Class I, Class
   II, and Class III). Class II BSCs are further divided into types (Type A1, Type A2, Type
- 778 B1, and Type B2). See Appendix C for details.
- 779 **Buffer room:** A type of C-SEC under negative pressure where the C-PEC is
- physically located. Activities that occur in this area are limited to the preparation and
   staging of components and supplies used when compounding HDs.
- 782 **Chemotherapy glove:** A medical glove that meets the ASTM Standard Practice for
- Assessment of Resistance of Medical Gloves to Permeation by Chemotherapy Drugs
   (D6978) or its successor.
- 785 Cleaning: The removal of soil (e.g., organic and inorganic material) from objects and
   786 surfaces, normally accomplished by manually or mechanically using water with
- 787 detergents or enzymatic products.
- 788 **Closed-system drug-transfer device (CSTD):** A drug transfer device that
- mechanically prohibits the transfer of environmental contaminants into the system and
   the escape of HD or vapor concentrations outside the system.
- 791 **Compounded preparation:** A nonsterile or sterile drug or nutrient preparation that is
- 792 compounded in a licensed pharmacy or other healthcare-related facility in response to
- 793 or anticipation of a prescription or a medication order from a licensed prescriber.

794 **Compounding aseptic containment isolator (CACI):** A specific type of CAI that is

- 795 designed for the compounding of sterile HDs. The CACI is designed to provide worker
- 796 protection from exposure to undesirable levels of airborne drugs throughout the
- 797 compounding and material transfer processes and to provide an aseptic environment
   798 with unidirectional airflow for compounding sterile preparations.
- 799 **Compounding aseptic isolator (CAI):** An isolator specifically designed for
- 800 compounding sterile, non-hazardous pharmaceutical ingredients or preparations. The
- 801 CAI is designed to maintain an aseptic compounding environment throughout the
- 802 compounding and material transfer processes.
- 803 **Compounding personnel:** Individuals who participate in the compounding process.
- 804 **Compounding supervisor:** Individual(s) responsible for developing and implementing 805 appropriate procedures; overseeing facility compliance with this chapter and other
- applicable laws, regulations, and standards; ensuring the competency of personnel;
- 807 and maintaining environmental control of the compounding areas.
- 808 **Containment primary engineering control (C-PEC):** A ventilated device designed 809 and operated to minimize worker and environmental exposures to HDs by controlling 810 emissions of airborne contaminants through the following:
- 811 The full or partial enclosure of a potential contaminant source • 812 The use of airflow capture velocities to trap and remove airborne contaminants near their point of generation 813 814 The use of air pressure relationships that define the direction of airflow into the • 815 cabinet 816 The use of HEPA filtration on all potentially contaminated exhaust streams • 817 Examples of C-PECs include Class I, II, or III BSCs, CACIs, and CVE (e.g., powder hood). C-PECs used for nonsterile compounding do not need to have ISO Class 5 air 818 819 quality, whereas C-PECs used for sterile compounding must have ISO Class 5 air 820 quality (see Table 2 and 3). Containment secondary engineering control (C-SEC): The C-SEC is the room in 821 which the C-PEC is placed. It incorporates specific design and operational parameters 822 823 required to contain the potential hazard within the compounding room. 824 Containment segregated compounding area (C-SCA): A type of C-SEC with 825 nominal requirements for airflow and room pressurization as they pertain to HD 826 compounding. 827 Containment ventilated enclosure (CVE): A full or partial enclosure that uses 828 ventilation principles to capture, contain, and remove airborne contaminants through 829 HEPA filtration and prevent their release into the work environment. **Deactivation:** Treatment of an HD contaminant on surfaces with a chemical, heat, 830 ultraviolet light, or another agent to transform the HD into a less hazardous agent. 831 832 **Decontamination:** Inactivation, neutralization, or removal of HD contaminants on 833 surfaces, usually by chemical means. 834 **Disinfectant:** A chemical agent that destroys or inhibits the growth of microorganisms. 835 Engineering control: Primary, secondary, and supplemental devices designed to eliminate or reduce worker exposure to a chemical, biological, radiological, ergonomic, 836 or physical hazard, and in the case of CSPs, to protect the compounded preparation 837

- 838 from environmental contamination.
- 839 **Entity:** Pharmacy, hospital, physician's office, clinic, veterinary office, or other location
- 840 where HDs are received, stored, prepared, dispensed, administered, and/or 841 distributed.
- 842 **EPA-registered disinfectant:** Antimicrobial products registered with the
- 843 Environmental Protection Agency (EPA) for healthcare use against pathogens
- 844 specified in the product labeling.
- 845 **Externally vented:** Exhausted to the outside
- 846 **Globally Harmonized System of Classification and Labeling of Chemicals (GHS)**:
- 847 A system for standardizing and harmonizing the classification and labeling of 848 chemicals.
- 849 **Goggles:** Tight-fitting eye protection that completely covers the eyes, eye sockets,
- and facial area that immediately surrounds the eyes. Goggles provide protection from
   impact, dust, and splashes. Some goggles fit over corrective lenses.
- Hazardous drug (HD): Any drug identified as hazardous or potentially hazardous on
- the basis of at least one of the following six criteria:
- Carcinogenicity
- Teratogenicity or developmental toxicity
- Reproductive toxicity in humans
- Organ toxicity at low doses in humans or animals
- Genotoxicity
  - New drugs that mimic existing HDs in structure or toxicity

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- High-efficiency particulate air (HEPA) filtration: An extended-medium, dry-type
   filter in a rigid frame, having a minimum particle collection efficiency of 99.97% for
- particles with a mass median diameter of 0.3 µm when tested at a rated airflow in
   accordance with MIL STD 282 using IEST Recommended Standard RP-CC001.5.
- Negative-pressure room: A room that is maintained at a lower pressure than the
   adjacent spaces; therefore the net flow of air is into the room.
- 867 **Pass-through:** An enclosure with interlocking doors that is positioned between two
- spaces for the purpose of reducing particulate transfer while moving materials from
- 869 one space to another. A pass-through serving negative-pressure rooms needs to be
- 870 equipped with sealed doors.
- 871 **Personal protective equipment (PPE):** Items such as gloves, gowns, respirators,
- 872 goggles, faceshields, and others that protect individual workers from hazardous
- 873 physical or chemical exposures.
- 874 **Positive-pressure room:** A room that is maintained at a higher pressure than the 875 adjacent spaces; therefore, the net flow of air is out of the room.
- 876 Safety data sheet (SDS): An informational document that provides written or printed
- 877 material concerning a hazardous chemical. The SDS is prepared in accordance with
- 878 the HCS [previously known as a Material Safety Data Sheet (MSDS)].
- 879 **Spill kit:** A container of supplies, warning signage, and related materials used to
- 880 contain the spill of an HD.
- 881 **Standard operating procedure (SOP):** Written procedures describing operations,

testing, sampling, interpretation of results, and corrective actions that relate to the
operations that are taking place.
Supplemental engineering control: An adjunct control (e.g., CSTD) that may be
used concurrently with primary and secondary engineering controls. Supplemental
engineering controls offer additional levels of protection and may facilitate enhanced
occupational protection, especially when handling HDs outside of primary and
secondary engineering controls (e.g., during administering).

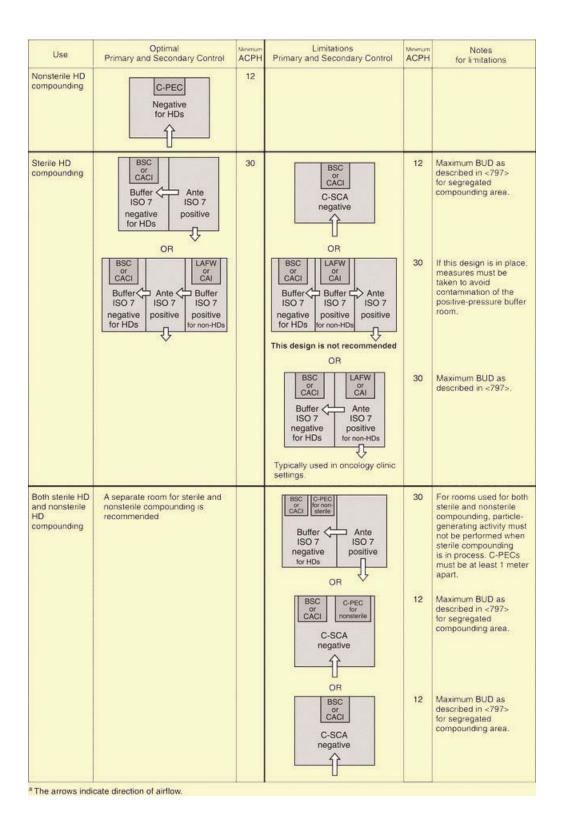
**Trace contaminated waste:** Items used in the handling, compounding, dispensing,

administration, or disposal of antineoplastic agents that are not overtly contaminated
 (e.g., gowns, gloves, goggles, wipes).

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893	<b>APPENDIX B: EXAMPLES OF DESIGNS FOR HAZARDOUS DRUGS</b>
894	COMPOUNDING AREAS <sup>A</sup>

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#### APPENDIX C: TYPES OF BIOLOGICAL SAFETY CABINETS

Class I: A BSC that protects personnel and the environment but does not protect the
 product/preparation. A minimum velocity of 75 linear feet/min of unfiltered room air is
 drawn through the front opening and across the work surface, providing personnel
 protection. The air is then passed through a HEPA/ULPA (ultra-low particulate air)
 filter, either into the room or to the outside in the exhaust plenum, providing
 environmental protection.

906 **Class II:** Class II (Types A1, A2, B1, and B2) BSCs are partial barrier systems that 907 rely on the movement of air to provide personnel, environmental, and

product/preparation protection. Personnel and product/preparation protection are

- provided by the combination of inward and downward airflow captured by the front
   grille of the cabinet. Side-to-side cross-contamination of products/preparations is
- minimized by the internal downward flow of HEPA/ULPA filtered air moving toward the
   work surface and then drawn into the front and rear intake grilles. Environmental
   protection is provided when the cabinet exhaust air is passed through a HEPA/ULPA
- 914 filter.

915 **Type A1 (formerly, Type A):** These Class II BSCs maintain a minimum inflow 916 velocity of 75 feet/min; have HEPA-filtered, down-flow air that is a portion of the 917 mixed down-flow and inflow air from a common plenum; may exhaust HEPA-filtered 918 air back into the laboratory or to the environment through an exhaust canopy; and 919 may have positive-pressure contaminated ducts and plenums that are not 920 surrounded by negative-pressure plenums. Type A1 BSCs are not suitable for use 921 with volatile toxic chemicals and volatile radionucleotides.

- 922 Type A2 (formerly, Type B3): These Class II BSCs maintain a minimum inflow 923 velocity of 100 feet/min; have HEPA-filtered, down-flow air that is a portion of the 924 mixed down-flow and inflow air from a common exhaust plenum; may exhaust HEPAfiltered air back into the laboratory or to the environment through an exhaust canopy; 925 926 and have all contaminated ducts and plenums under negative pressure or 927 surrounded by negative-pressure ducts and plenums. If these cabinets are used for 928 minute guantities of volatile toxic chemicals and trace amounts of radionucleotides, 929 they must be exhausted through properly functioning exhaust canopies.
- Type B1: These Class II BSCs maintain a minimum inflow velocity of 100 feet/min;
   have HEPA-filtered, down-flow air composed largely of uncontaminated, recirculated
   inflow air; exhaust most of the contaminated down-flow air through a dedicated duct
   exhausted to the atmosphere after passing it through a HEPA filter; and have all
   contaminated ducts and plenums under negative pressure or surrounded by
   negative-pressure ducts and plenums. If these cabinets are used for work involving
- minute quantities of volatile toxic chemicals and trace amounts of radionucleotides,
   the work must be done in the directly exhausted portion of the cabinet.
- 938 **Type B2 (total exhaust):** These Class II BSCs maintain a minimum inflow velocity of 939 100 feet/min; have HEPA-filtered, down-flow air drawn from the laboratory or the 940 outside; exhaust all inflow and down-flow air to the atmosphere after filtration through 941 a HEPA filter without recirculation inside the cabinet or return to the laboratory; and 942 have all contaminated ducts and plenums under negative pressure or surrounded by 943 directly exhausted negative-pressure ducts and plenums. These cabinets may be 944 used with volatile toxic chemicals and radionucleotides.

945 946	Class III: The Class III BSC is designed for work with highly infectious microbiological
947	agents and other hazardous operations. It provides maximum protection for the
948	environment and the worker. It is a gas-tight enclosure with a viewing window that is
949	secured with locks and/or requires the use of tools to open. Both supply and exhaust
950	air are HEPA/ULPA filtered. Exhaust air must pass through two HEPA/ULPA filters in
951	series before discharge to the outdoors.
952	
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